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PATENT

Attorney Docket No.: STEM1110-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Twardzik, et al. Art Unit: Unassigned  
Application No.: 09/932,172 Examiner: Unassigned  
Filed: August 17, 2001  
Title: TGF- $\alpha$  POLYPEPTIDES, FUNCTIONAL FRAGMENTS AND METHODS  
OF USE THEREFOR

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed  
September 13, 2001, enclosed are:

1. A copy of the Notice to File Missing Parts (2 pages);
2. An executed Combined Declaration & Power of Attorney for Patent Application (6 pages);
3. Power of Attorney by Assignee (2 pages);
4. Assignment with Recordation Cover Sheet (9 pages);
5. Statement Under 37 CFR §§1.821(f) and (g) (1 page);
6. Verified Statement Under 37 CFR §1.82(f) (1 page);
7. Paper Copy and Diskette of Sequence Listing (3 pages);
8. Check in the amount of \$105.00; and
9. Return Receipt Postcard.

**CERTIFICATION UNDER 37 CFR § 1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date November 13, 2001, in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

11/13/01  
Date

*Stephan Shanna*  
Signature

In re Application of:

PATENT

Twardzik, et al.

Attorney Docket No.: STEM1110-3

Application No.: 09/932,172

Filed: August 17, 2001

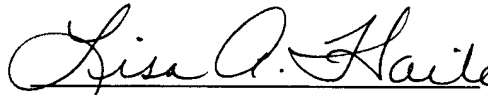
Page 2

Enclosed is a check in the total amount of \$105.00; which consists of \$65.00 for the surcharge fee for missing requirements of the application, and \$40.00 for recordation fee.

The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 11/12/01



Lisa A. Haile, J.D., Ph.D.

Registration No.: 38,347

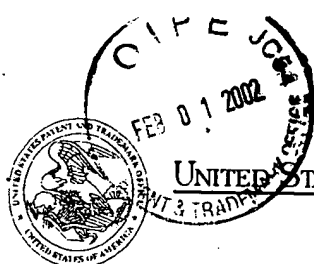
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,172	08/17/2001	Daniel R. Twardzik	STEM1110-3

CONFIRMATION NO. 5395

## FORMALITIES LETTER



\*OC00000006547603\*

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San Diego, CA 92121-2189

#3

Date Mailed: 09/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE